U.S. DEPARTMENT OF COMMERCE Economics and Statistics Administration U.S. CENSUS BUREAU



FORM

SA-**52313A**

2000 SERVICE ANNUAL SURVEY

Commodity Contracts Dealing

DUE DATE **▶**

NOTICE — Your report to the Census Bureau is confidential by law (Title 13, U.S. Code). It may be seen only by sworn Census employees and may be used only for statistical purposes. The law also provides that copies retained in your files are immune from legal process.

RETURN COMPLETED FORM TO



U.S. CENSUS BUREAU 1201 East 10th Street Jeffersonville, IN 47132-0001

Any questions call 1-800-772-7851 weekdays, 8:30 a.m. to 5:00 p.m. EST 137 SAS_F 523130 T

(Please correct any error in name, address, or ZIP Code)

YOUR RESPONSE IS REQUIRED BY LAW. Title 13, U.S. Code, requires businesses and other organizations that receive this questionnaire to answer the questions and return the report to the Census Bureau.

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Item 1 SURVEY COVERAGE

This report covers all domestic locations operated by your company and its subsidiaries primarily engaged in acting as principals (i.e., investors who buy or sell for their own account) in buying or selling spot or future commodity contracts or options, such as precious metals, foreign currency, oil, or agricultural products, generally on a spread basis.

Does the above coverage describe this firm's business activity?

	- Continue with Item 3
2 □ No −	Specify your business activity and continue with Item 3
0002	·

Item 2

Item 3

NOT APPLICABLE TO THIS FORM

Mark (X) the one box which best describes the period covered by your report.

REPORT PERIOD

If the data reported are for a period other than the "calendar year," please enter the beginning and ending dates.

1 Calendar year – Go to Item 4	Month	Day	Year	
 2□Fiscal year	From	0007		
3 Less than 12 months ∫	1	0008		

2000

An estimate is exceptible if is book figure is not available include interest, dividends, royalties, not realized capital gains (assess) commissions and fees from all sources, including fees earned from exchanging currents as selling money orders, and cashing observed as and religious (assess) from the sake of roal estate property owned by a firm for investment. 1. Commissions from the sale of securities (including investment company securities) and commodities 2. Net gains (losses) in trading accounts in securities a. Net interest income from trading accounts in securities b. Net gains (losses) from trading accounts in securities c. Net gains (losses) from trading accounts in securities a. Net gains (losses) from trading accounts in securities b. Net gains (losses) from trading accounts in commodities c. Net gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) from trading accounts in securities a. Met gains (losses) in trading accounts in securities b. All other securities transactions 5.00 5.00 4. Net gains (losses) in trading accounts in securities a. Met gains (losses) in trading accounts in securities a. Met gains (losses) from trading accounts in securities b. All other securities transactions 5.00 5.00 6. Margin interest and other interest income, including REPO 7. Other revenue income (relicities) (losses) including REPO 7. Other revenue including investigation (losses) including accounts in tradities, proposed in the securities of trevenue in greater than 20% of total revenue, places appeared to t						
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Item 4B EXPORTED SERVICES An estimate is acceptable if a book figure is not available. Note — An exported service is a service performed for a customer or client (individual, government, business establishment, etc.) located outside the United States (ie., outside the 50 states, District of Columbia, U.S. Commonwealth Territories, or U.S. possessions). Services performed for unaffiliated and affiliated foreign firms (i.e., foreign parent firms, subsidiaries, branches, etc.) are included. Exclude services provided to domestic subsidiaries of foreign firms. Did the total revenue reported in item 4A include any amounts received for exported services or products? Item 4C E-COMMERCE RECEIPTS/REVENUE E-commerce includes sales, commissions, rents, or fees collected from any transaction completed over an Internet, extranet, EDI network, electronic mail or other online system. Transactions are agreements between buyers and sellers to transfer ownership of, or rights to use, goods or services. Payment for these goods and services may or may not be made online. Please see the instruction sheet for further clarification before completing this item. An estimate is acceptable if a book figure is not available. 1. Did your firm have e-commerce receipts/revenue during 2000? Online O	annuity commissions, and any other revenue source(s) — If other revenue is greater than 20% of total					
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2 No — Continue to Item 5.	1. Did your Imm have e-commerce receipts/revenue during 2000?					
Z No Continue to term o.					000	
			Bil.	I		Dol.
2. What were your firm's e-commerce receipts/revenue for 2000? (Include e-commerce receipts/revenue in Item 4A. Exclude sales taxes.)	2. What were your firm's e-commerce receipts/revenue for 2000? (Include e-commerce receipts/revenue in Item 4A. Exclude sales taxes.)	005				

Item 5 NUMBER OF LOCATIONS						2000	
				Number 0012			
					0012		
Enter the total number of service locations of	overed by this report as of D	ecember 31, 2000	0. ——				
Item 6 OWNERSHIP OR CONTROL a. Does another firm own more than	Name of owning or contro	lling company					
50 percent of the voting stock or have the power to control the management	Number and street						
and policies of this company?	and policies of this company? City, State, and ZIP Code						
0013 1 Yes — 2 No				0015			
	2017 Name of a manage a surviva		EIN ——	→ ⁻			
b. Did this firm acquire or merge with another company during 2000?	Name of company acquire	a or mergea with					
	Number and street						
0016 1 Yes	City, State, and ZIP Code						
2 No		V.					
	Date of merger or acquisition Month	Year	EIN ———	0019			
Item 7 REMARKS – Please use this sp	ace for any explanations that correspondence pertaining to	may be helpful i	n understa	anding your i	reported	data.	
number shown in	the address label area or at t	triis report, pleas he top of the pag	e.	THE IDENTIF	ICATION		
Public reporting burden for this collection of reviewing instructions, searching existing of				e, including th completing ar			
the collection of information. Send comme including suggestions for reducing this bur	nts regarding this burden estimates. It is to: Associate Director for F	ite or any other asp nance and Adminis	ect of this o	collection of ir n: Paperwork	nformation Reduction	n, 1	
Project; U.S. Census Bureau; Room 3104, FB 3; Washington, DC 20233-0001. PLEASE INCLUDE FORM NAME AND NUMBER IN ALL CORRESPONDENCE. Respondents are not required to respond to any information collection unless it displays a valid approval							
number from the Office of Management an						1.	
Item 8 CERTIFICATION – This report						ons.	
Name of person completing this report – Please print	0021 Address (Number and stree	t, city, State, ZIP Code	Area code		ohone	Extensi	ion
			1				
Signature of authorized person			0023 Area code		ax	Extensi	ion
			Area code	Number		Exterisi	1011
0024 Title		0025 Date	0026	E-mail a	address		
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	u may fax the complete		•				

SERVICE ANNUAL SURVEY SECURITIES, COMMODITY CONTRACTS, AND OTHER FINANCIAL INVESTMENTS

GENERAL INSTRUCTIONS

Your report should be completed and returned in the preaddressed envelope provided on or before the due date. If the report does not appear to apply to your kind of business or activity, describe your business or activity in Item 1 and complete the remainder of the form as accurately as possible.

If filing within the required time frame will cause an undue burden and you would like an extension, or if you have any questions, please write to the

U.S. Census Bureau 1201 East 10th Street Jeffersonville, IN 47132-0001

or call our Census Bureau representative in Jeffersonville, Indiana at 1–800–772–7851, weekdays from 8:30 a.m. to 5:00 p.m., eastern time. Always include your identification number, located in the address label, in any correspondence.

IF BOOK FIGURES ARE NOT AVAILABLE, ESTIMATES ARE ACCEPTABLE.

Please read all instructions before making your entries.

Report data for the calendar year specified. If calendar year records are not available, we will accept fiscal year data. Please note, however, that we prefer estimates for the calendar year to book figures covering a different time period. Report all values in dollars (omit cents). Enter "0" in items where appropriate. Please do not combine data for two or more revenue lines.

For location(s) sold or acquired during the year specified, report only for the period that the location(s) were operated by this firm.

SPECIFIC INSTRUCTIONS

All Firms

Revenue

Report revenue for all services rendered and any sales of merchandise for the calendar year specified, even though payment may have been received at a later date. Firms operating on a commission basis should report commissions, fees, and other operating income, not gross billings or sales.

Include -

- Total value of service contracts.
- Amounts received for work subcontracted to others.
- Market value of compensation in lieu of cash.
- Revenue from services performed by domestic locations for FOREIGN parent firms, subsidiaries, branches, etc.
- Dues and assessments from members and affiliates.
- Income from investments, sales of company-owned real estate (land and building) or other assets, (except inventory held for resale), securities, gifts, loans, contributions, royalties or grants.
- Interest earned from sales, rent or lease under capital, finance, or full-payout leases.
- Net realized gains as well as (losses) within specified area(s) of activity.

Exclude -

- Taxes (sales, amusement, occupancy, use, or other) collected directly from customers or clients and paid directly to a local, State, or Federal tax agency.
- Revenue from a domestic parent organization, or from franchise locations owned by others and any franchise or license fees.
- Rents from and revenue of separately operated departments, concessions, etc., which are leased to others.
- Commissions from vending machine operators.
- Revenue of foreign subsidiaries (those located outside the U.S., i.e., outside the 50 states, District of Columbia, U.S. Commonwealth Territories, or U.S. Possessions).
- Revenue from the sale of used equipment.

SERVICE ANNUAL SURVEY SECURITIES, COMMODITY CONTRACTS, AND OTHER FINANCIAL INVESTMENTS SPECIFIC INSTRUCTIONS - Continued

E-commerce Revenue

(In the following instructions, online refers to any transaction completed over an Internet, extranet, EDI network, electronic mail or other online system.)

Include -

- Revenue from online orders for goods or services placed by a buyer.
- Revenue from online services provided where charges are based on the usage of those services (e.g., commissions or fees from use of computerized reservation systems, financial transaction processing systems, etc.)
- Commissions or fees from the trading of securities or the sale of other financial products online (e.g., insurance, loans, etc.).
- Commissions or fees from selling or from facilitating the sale of third party products (e.g., click-through including referral fees) through your company's Web site.
- Revenue from orders or contracts negotiated online with a buyer and seller on the price and terms for transferring ownership or the rights to use goods or services.
- Revenue from telephone transactions using interactive voice response systems.

Exclude - revenue from:

- Online billings where the order or contract was not negotiated online.
- Delivery of services online where the order or contract was not negotiated online.
- Provision of telecommunications and related infrastructure systems (e.g., data transfer, Web hosting, Internet access) where the order or contract for such services was not negotiated online.
- Orders for goods or services placed by facsimile machine or over switched telephone network.